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(54) Title: SILICON MODIFIED POLYAMIDE MATERIAL USEFUL FOR ORAL CARE

(57) Abstract: The invention is directed to a new material useful in oral care products such as dental floss or as a toothbrush bristle. The material is a silicon-modified polyamide blended with a different polyamide material. The preferred different polyamide material is the conventional material used to make bristles of toothbrushes. The different polyamide material is preferably a nylon material.

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**SILICON MODIFIED POLYAMIDE MATERIAL USEFUL FOR ORAL CARE**

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**Background of the Invention**

The invention is drawn to a novel silicon modified polyamide material that is useful in the oral care field. For example, the material can be used as filaments that can be used as toothbrush bristles, interdental brushes, paint brush bristles such as for a cleaning or whitening system, dental floss tape (string), dental strips or tube applicators etc.

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Several patents describe bristles of tooth brushes. For example, US 6,327,736 discloses making bristles for a toothbrush from a plastic material chosen from a group of plastics consisting of polyamides and polyesters. Polyamides include nylon. Other patents that discuss making bristles and/or dental floss are US 6,475,553

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Manufacturers of nylon bristle toothbrushes have provided in the past, a variety of toothbrushes designated as "soft," "medium," and/or "hard" to indicate the stiffness of the bristles. For a given thermoplastic polymeric composition, one factor, which predominantly determines bristle stiffness, is the diameter of the individual bristles. For example, with nylon 6,12 the "soft" bristles typically have a diameter between 0.007 and 0.008 inches; "medium" bristles have a diameter between 0.008 and 0.009 inches and "hard" bristles have a diameter greater than about 0.010 inches. Polybutylene terephthalate bristles are typically about 0.001 to 0.002 inches smaller in diameter due to the greater wet stiffness of this material over that of nylon 6,12. For all bristles used in toothbrushes, there is generally a manufacturing or grading tolerance of about +/- 0.0005 inches.

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Soft, medium, and hard bristles are all effective in cleaning teeth while soft bristles are more gentle on the gum and soft tissues of the oral cavity, medium and hard bristles show stronger brushing actions on the tooth surfaces.

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US 6,138,314 discloses making bristles for a toothbrush from polyamide materials such as nylon materials. The synthetic polyamides useful in the bristles of toothbrushes includes those which are of sufficient molecular weight to be fiber-forming such as: polycaprolactam, polyhexamethylene adipamide, polyhexamethylene sebacamide, the  
5 polyamide formed from 1,4,(cis)cyclohexane-bis(methylamine) and adipic acid (see U.S. Pat. No. 3,012,994); the polyamide from m-xylene diamine and adipic acid (see U.S. Pat. No. 2,916,475); the polyamide from 3,5 dimethyl hexamethylene diamine and terephthalic acid (see U.S. Pat. No. 2,752,358); the polyamide from 2,5 dimethyl piperazine and adipyl chloride (see U.S. Pat. No. 3,143,527). See also U.S. Pat. No. 2,152,606. The preferred  
10 polyamides are polyhexamethylene adipamide; and polyhexamethylene sebacamide. In general, the number average molecular weight of the polymer used for these bristles should be in excess of 10,000 and preferably greater than 30,000 to provide the strength and stiffness needed in a toothbrush bristle. The commercial polyamides preferred include nylon 6, nylon 6,6; nylon 6,10 and nylon 6,12.

15 US 5,560,377 discloses making dental floss from a composite of a multifilament yarn bonded to an extruded monofilament. Both elements are made of polymer compounds, preferably nylon, to provide desired ease of use of the monofilament as a leader to pass the implement easily between the teeth or under bridges while the multifilament yarn can be provided in looped embodiments, as a bush element or in the  
20 form of one or more tails thus providing superior flossing action when passed between the teeth or under bridges.

US Pat. No. 6,604,534 (" '534 patent"). discusses monofilament tapes and monofilament interproximal devices. The '534 patent discusses the following patents as being relevant to monofilament interproximal devices: U.S. Pat. Nos. Re 35,439;  
25 3,800,812; 4,974,615; 5,760,117; 5,433,226; 5,479,952; 5,503,842; 5,755,243; 5,845,652;

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5,884,639; 5,918,609; 5,962,572; 5,998,431; 6,003,525; 6,083,208; 6,148,830; 6,161,555; and 6,027,592, the disclosures of which are hereby incorporated herein by reference.

These dental tapes generally have serious shortcomings in gentleness, in delivering coatings during flossing and in being handled easily and conveniently during flossing.

5 U.S. 6,051,216 discloses the use of cosmetic compositions containing siloxane based polyamides as thickening agents.

### SUMMARY OF THE INVENTION

It is an object of the present invention to develop a new material useful in the oral care industry.

10 It is another object to develop a new material useful as a filament, preferably a monofilament or bristle used in the oral care industry, in particular in an oral care product. An oral care product would be any known product that is used in the mouth or for the mouth. For example, an oral care product can be but is not limited to a toothbrush, paintbrush, dental floss tape (string), dental strips or tube applicators dental tape etc. The  
15 bristle can be used for a toothbrush that can be used on a manual non-electric or electric toothbrush that has superior properties with respect to sensory and antibacterial.

Another object of the present invention to develop a new material that can be used for dental floss that has superior properties with respect to sensory and antibacterial.

Another object of the present invention is to use the bristle on a paint brush that  
20 can be used to apply whitening compositions to the teeth (bleaching the teeth) or a cleaning composition to the teeth.

One aspect of the invention is a filament which comprises a silicon-modified polyamide material blended with a different polyamide material. The different polyamide material can be the commercial or prior art material that is used for dental floss or  
25 toothbrush bristles, such as the materials discussed above, which include nylon. The

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filament can be used in dental floss, dental tape, a toothbrush bristle or a paint brush bristle. The paint brush can be used in an application to place a whitening composition such as a bleaching composition on the teeth to make the teeth whiter.

### **DETAILED DESCRIPTION OF THE INVENTION**

5           We have found a new material used for making filaments that can be used in any oral care product. The material can be used as a filament such as but not limited to tooth brushes, dental floss, dental tape, and paint brushes. The paint brushes can be used to apply a cleaning, whitening or any other composition to the mouth and preferably the teeth. The filament can be substituted for any commercial oral care product that contains a  
10 filament. The commercial oral care product would be made in any known manner.

          We have found that the incorporation of silicone into polyamides results in new physical and chemical properties that modify and improve the performance of the polyamides. The modification means changing the hydrophilicity or hydrophobicity of the polyamides or changing the lubricity of the polyamides. Improvements can also be  
15 introduced by the functionalities attached to the silicones, which can exhibit antibacterial properties or affinity for certain metal ions, quaternary ammonium ions, fluoride ions or beneficial enzymes. Changes in the physical properties of polyamides include altering the crystallinity of polyamides, for example, reducing the crystallinity of the polyamide for example, Nylon 6,6 to a level similar to that of Nylon 6,12. These properties, when  
20 present in nylon resins can provide superior benefits for making toothbrush bristles and dental floss.

          Siliconized polyamides, contain both silicone moieties and amide moieties in the backbone. The silicone component comes with unique flexibility, stability and surface tension properties, while the amide moieties make the molecule compatible with other  
25 polyamides, such as, the ones described in the background of the invention which are not

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limited to nylon. As discussed above, polyamides, such as nylon are a key material for toothbrush and dental floss technology. It is conceived that the siliconized polyamide will modify the other polyamide structure, such as the polyamide structures discussed above in the background of the invention section which includes, nylon structure when mixed, and particularly the surface structure of the polyamide such as nylon so that the modified polyamide (i.e. nylon) for bristles and dental floss are softer, and more lubricious. This would provide a significant benefit to reduce toothbrush trauma to the gingival, which is a major cause of gingival recession. More importantly, it is also known that silicone has very low surface tension, and such a property would be ideal for reducing bacterial adhesion and accumulation on the surfaces of toothbrush bristles (anti-attachment), a pro-hygenic effect which would be viewed as desirable by the consumer. Therefore, siliconized polyamide modified polyamides such as, but not limited to nylons are superior materials for toothbrush bristle and dental floss.

In addition, the chemistry involved in developing siliconized polyamides can also be used to graft other silicones such as cationic silicones or non-silicone moieties such as quaternary ammonium ions to nylon to achieve superior properties desired for toothbrush bristles and dental floss. Siliconized polyamides used according to this invention described in detail in US patent No. 6,051,216 (" '216 patent") which is incorporated by reference in its entirety for all useful purposes. The '216 patent claims a silicon-modified polyamide comprises

a) 0.5-80 percent by weight based on the total weight of the composition of at least one siloxane-based polyamide;

(b) 5-95 percent by weight silicone fluid; and

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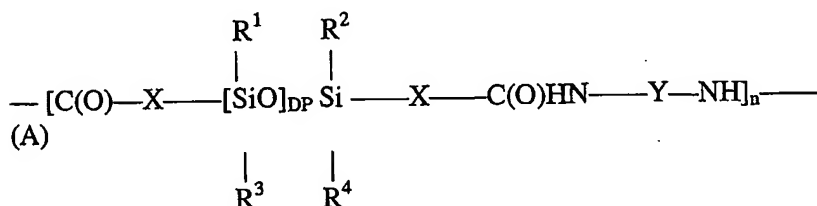
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(c) if (a)+(b) do not equal 100 percent, then a portion of solvent sufficient to make 100 percent, wherein:

the siloxane-based polyamide is formed from units of Formula A



where: (1) n is a number selected from the group consisting of 1-500, where n is the number of units in the polyamide;

(2) DP is an average value for degree of polymerization of a siloxane portion of the polyamide and is selected from the group consisting of 1-700;

(3) X is a linear or branched chain alkylene having 1-30 carbons;

(4) each of R<sup>1</sup>-R<sup>4</sup> is independently selected from the group consisting of methyl, ethyl, propyl, isopropyl, a siloxane chain, and phenyl, wherein the phenyl may optionally be substituted by 1-3 members from the group consisting of methyl and ethyl; and

(5) Y is selected from the group consisting of linear or branched chain alkenes having 1-40 carbons, wherein the alkylene group itself may optionally be substituted by at least one member selected from the group consisting of

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(i) hydroxy;

(ii) C<sub>3</sub>-C<sub>8</sub> cycloalkane;

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(iii) 1-3 members selected independently from the group consisting of C<sub>1</sub>-C<sub>3</sub> alkyls; phenyl optionally substituted by 1-3 members independently selected from the group consisting of C<sub>1</sub>-C<sub>3</sub> alkyls;

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(iv) C<sub>1</sub>-C<sub>3</sub> alkyl hydroxy; and

(v) C<sub>1</sub>-C<sub>6</sub> alkyl amine;

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or Y may be Z where  $Z = R^{20} T(R^{22})R^{21}$  where each of R<sup>20</sup>, R<sup>21</sup> and R<sup>22</sup> is independently selected from the group consisting of linear and branched C<sub>1</sub>-C<sub>10</sub> alkyl or alkylene groups; and T is selected from the group consisting of CR, where R is selected from the group consisting of hydrogen, the group consisting of the group defined for R<sup>1</sup>-R<sup>4</sup>; and a trivalent atom selected from the group consisting of N, P and Al; and wherein each value for X, Y, DP and R<sup>1</sup>-R<sup>4</sup> may be the same or different for each unit in the polyamide. In addition, the siloxane-based polyamide preferably (1) comprise both siloxane groups and amide groups to thicken compositions containing silicone fluids (volatile and/or non-volatile silicone fluids); (2) are non-flowable solids at room temperature; and (3) dissolve in a fluid which contains silicone at a temperature of 25-160 degrees C. to form a translucent or clear solution at a temperature in this range.

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When making a toothbrush, the filaments lie in close proximity to each other as the result of winding or braiding the filaments, or generally as the result of stranding the filaments. The surface area of the filaments can be subjected to partial dissolving by means of chemical agents. In this manner, the filaments merge completely together and any cavities still remaining in the center of the wound or braided bristle are closed. This process can be supported by any existing or selectively variable tensile stress acting on the filaments. Altogether a cavity-free bristle is thus produced, offering bacteria or other germs no possibility of infiltration.

The following values have proven to be especially suitable in particular for an electric toothbrush: three or four filaments per bristle are used, the diameter of the individual filaments lies between approximately 0.0762 mm and 0.127 mm, approximately, and the winding or braiding of a bristle is repeated after every 1.0 mm approximately to 3.0 mm, approximately.

The bristles may be formed by melt extruding various thermoplastic polymeric materials through appropriately shaped extrusion orifices in various dies following various processes such as described in U.S. Pat. Nos. 2,226,529 and 2,418,482; 3,745,061; 3,238,553; 3,595,952; 4,279,053; and French Patent No. 2,125,920.

The tufting, cutting, stapling, etc., of the bristles is performed by processes known in the art; for instance as described in U.S. Pat. Nos. 4,441,227; 4,688,857; 979,782; 5,274,873; 5,335,389; and 5,511,275, the disclosures of which are hereby incorporated herein by reference.

Experiments with blends of siliconized polyamides and polyamides such as nylon have indicated that the two polymers are miscible above their melting temperature, and they are compatible at the macro-scale at room temperature. Optical microscopy was employed to demonstrate that at the micro-scale, the silicone component occupies a

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specific volume fraction within the resulting tertiary structure of filaments. This demonstrated the role of silicone as a modifier of nylon.

The following additional examples were conducted:

Masterbatches with 20% polyamide additive in Nylon 6,6 and Nylon 6,12 were produced. The masterbatches did not contain anything else. Masterbatches had to be produced carefully to ensure a good dispersion of the low-melting additive in the Nylons. Fibers were produced using Nylon 6,6 and Nylon 6,12 to identify correct processing conditions for both. In general Nylon 6,6 was processed around 285-290°C in the fiber line and Nylon 6,12 was processed around 235-240°C. Fibers were prepared using a round die containing 41 die holes. Fibers are "flat yarn" and are 6-8 denier per filament (250-325 total denier). Fibers were then prepared using correct letdown ratios of the masterbatch in appropriate resins. The following data gives average values from tensile and DSC data.

#### Nylon 6,6 fibers

Additive level (%w/w)	Tenacity* grams/tex	Elongation at peak (%)	Elongation at break (%)	Crystallinity (%)	Melting point deg. C
0	17.64	353	359	26.6	265.3
1	14.84	429.4	599.8	27	265.9
3	17.01	217.1	392.1	22.2	266.8

#### Nylon 6, 12 fibers

Additive level (%w/w)	Tenacity* grams/tex	Elongation at peak (%)	Elongation at break (%)	Crystallinity (%)	Melting point deg. C
0	22.71	216.4	292.9	28	217.9
1	18.04	240.3	332.1	26.5	218.5
3	20.19	306.9	402.3	28.1	217.2

Tenacity reported is breaking tenacity

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A comparison was made between mixed toothbrush bristles with siliconized polyamide coated on nylon bristles and bristles with unmodified nylon. The modified nylon showed unexpectedly improved results.

In addition, a microbiological assay of bacterial attachment to toothbrushes was performed, and the table below presents examples illustrating the new and improved bacterial anti-attachment properties of the new material. To evaluate the ability of bacteria to attach to the surface of the toothbrush bristles, an experiment was designed to quantify the number of bacteria which were left after a certain amount of rinsing time. Bacteria chosen for this experiment were selected from the many orally relevant species. One such suitable species of bacteria is *Actinomyces naeslundii*, a well-known early colonizer of the mouth. A bacterial suspension of *A. naeslundii* was first prepared by inoculating trypticase soy broth (TSB). After incubation overnight at 37.5 °C, the suspension was diluted with additional TSB until the optical density (O.D.) was between 0.015 and 0.2. (The optical density in this case corresponds to the overall quantity of bacteria in the suspension.) The toothbrushes were carefully cleaned with mild detergent and then disinfected for 60 seconds in 70% ethanol. After air drying, the bristles of the brushes were dipped into the bacterial suspension for 60 seconds, rinsed in phosphate buffered saline (PBS) for 0-60 seconds, then brushed over the surface of separate agar plates. These agar plates were incubated for 2 days at 37.5 °C at which time a visual inspection was made of the colony forming units (CFU's).

At higher concentrations of bacteria, > 0.05 O.D., it was not possible to count the CFU's reliably. However, in a visual inspection of these experiments there was always clearly a less dense lawn of bacterial colonies on the plate brushed with the silicone containing bristles. As the bacterial load was reduced to a OD of 0.017-0.020, two out of

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three experiments performed showed a clear 18-25% reduction in bacteria in toothbrushes with Nylon 6,6 + 3% silicone-modified polyamide, relative to Nylon 6,12.

trial	Toothbrush Material		% reduction
	Nylon 6,12 (CFU)	Nylon 6,6 with 3% additive (CFU)	
1	290	387	25
2	980	1192	18

All the references discussed in this application are incorporated by reference in  
5 their entirety for all useful purposes.

While there is shown and described certain specific structures embodying the invention, it will be manifest to those skilled in the art that various modifications and rearrangements of the parts may be made without departing from the spirit and scope of the underlying inventive concept and that the same is not limited to the particular forms  
10 herein shown and described.

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We claim:

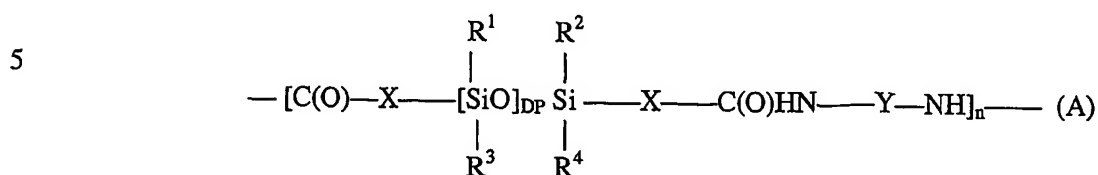
1. A material useful in oral care products which comprises a silicon-modified polyamide blended with a different polyamide material.
2. The material as claimed in claim 1, wherein said different polyamide material  
5 is a synthetic polyamide.
3. The material as claimed in claim 1, wherein said different polyamide material is nylon 6, nylon 6,6; nylon 6,10 or nylon 6,12.
4. The material as claimed in claim 1, wherein said different polyamide material is nylon 6,6.
- 10 5. The material as claimed in claim 1, wherein said siloxane-based polyamide (1) comprise both siloxane groups and amide groups to thicken compositions containing silicone fluids (volatile and/or non-volatile silicone fluids); (2) are non-flowable solids at room temperature; and (3) dissolve in a fluid which contains silicone at a temperature of 25-160 degrees C. to form a translucent or  
15 clear solution at a temperature in this range.
6. The material as claimed in claim 1, wherein the silicon-modified polyamide comprises
  - a) 0.5-80 percent by weight based on the total weight of the composition of at least one siloxane-based polyamide;
  - 20 (b) 5-95 percent by weight silicone fluid; and
  - (c) if (a)+(b) do not equal 100 percent, then a portion of solvent sufficient to make 100 percent, wherein:

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the siloxane-based polyamide is formed from units of Formula A



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where: (1) n is a number selected from the group consisting of 1-500,  
where n is the number of units in the polyamide;

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(2) DP is an average value for degree of polymerization of a siloxane  
portion of the polyamide and is selected from the group consisting of 1-  
700;

(3) X is a linear or branched chain alkylene having 1-30 carbons;

20

(4) each of  $R^1$ - $R^4$  is independently selected from the group consisting of  
methyl, ethyl, propyl, isopropyl, a siloxane chain, and phenyl, wherein the  
phenyl may optionally be substituted by 1-3 members from the group  
consisting of methyl and ethyl; and

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(5) Y is selected from the group consisting of linear or branched chain  
alkylenes having 1-40 carbons, wherein the alkylene group itself may  
optionally be substituted by at least one member selected from the group

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consisting of

(i) hydroxy;

5 (ii) C<sub>3</sub>-C<sub>8</sub> cycloalkane;

(iii) 1-3 members selected independently from the group consisting of C<sub>1</sub>-C<sub>3</sub> alkyls; phenyl optionally substituted by 1-3 members independently selected from the group consisting of C<sub>1</sub>-C<sub>3</sub> alkyls;

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(iv) C<sub>1</sub>-C<sub>3</sub> alkyl hydroxy; and

(v) C<sub>1</sub>-C<sub>6</sub> alkyl amine;

15

or Y may be Z where  $Z = R^{20} T(R^{22}) R^{21}$  where each of R<sup>20</sup>, R<sup>21</sup> and R<sup>22</sup> is independently selected from the group consisting of linear and branched C<sub>1</sub>-C<sub>10</sub> alkyl or alkylene groups; and T is selected from the group consisting of CR, where R is selected from the group consisting of hydrogen, the group consisting of the group defined for R<sup>1</sup>-R<sup>4</sup>; and a trivalent atom selected from the group consisting of N, P and Al; and wherein each value for X, Y, DP and R<sup>1</sup>-R<sup>4</sup> may be the same or different for each unit in the polyamide.

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7. The material as claimed in claim 6, wherein said different polyamide material is nylon 6, nylon 6,6; nylon 6,10 or nylon 6,12.

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8. The material as claimed in claim 6, wherein said different polyamide material is nylon 6,6.
9. A filament which comprises said material as claimed in claim 1.
10. A filament which comprises said material as claimed in claim 8.
- 5 11. An oral care product which comprises at least one of said filament as claimed in claim 9.
12. The oral care product as claimed in claim 11, wherein the product is a toothbrush, dental floss, dental tape or paint brush.
13. Dental floss which comprises at least one of said filaments as claimed in claim 9.
- 10 14. A toothbrush bristle which comprises at least one of said filaments as claimed in claim 10.
15. A toothbrush which comprises at least one toothbrush bristle as claimed in claim 13.
- 15 16. The toothbrush as claimed in claim 15, wherein said toothbrush is an electric toothbrush.
17. The toothbrush as claimed in claim 15, wherein said toothbrush is a non-electric toothbrush.
18. A brush used for whitening the teeth which comprises at least one of said filaments as claimed in claim 9.
- 20 19. Dental tape which comprises at least one of said filaments as claimed in claim 9.



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- (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).
- Published:  
— *with international search report*  
— *before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments*
- (88) Date of publication of the international search report:  
12 August 2004
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(54) Title: **SILICON MODIFIED POLYAMIDE MATERIAL USEFUL FOR ORAL CARE**

(57) Abstract: The invention is directed to a new material useful in oral care products such as dental floss or as a toothbrush bristle. The material is a silicon-modified polyamide blended with a different polyamide material. The preferred different polyamide material is the conventional material used to make bristles of toothbrushes. The different polyamide material is preferably a nylon material.

WO 2004/037106 A3

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# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US03/33914

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : A61K 6/00, 7/16

US CL : 424/49, 50, 401, 404, 443; 433/80, 216, 217.1; 428/364, 480; 525/50, 66, 98, 166, 179, 222

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/49, 50, 401, 404, 443; 433/80, 216, 217.1; 428/364, 480; 525/50, 66, 98, 166, 179, 222

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 6,251,410 B1 (SCHIRALDI ET AL) 26 June 2001 (26.06.2001), see the entire document, especially column 5, lines 12-23.	1-19
Y	US 6,141,819 A (DRIESEN ET AL) 07 November 2000 (07.11.2000), see the entire document, especially col. 4, lines 30-65.	1-19
Y	GB 2,169,912 A (DAICEL CHEMICAL INDUSTRIES LTD.) 23 July 1986 (23.07.1986), see the entire document.	1-19

☐ Further documents are listed in the continuation of Box C.

☐ See patent family annex.

* Special categories of cited documents:	
"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"B" earlier application or patent published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O" document referring to an oral disclosure, use, exhibition or other means	"E" document member of the same patent family
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search 16 June 2004 (16.06.2004)	Date of mailing of the international search report 29 JUN 2004
Name and mailing address of the ISA/US Mail Stop PCT, Attn: ISA/US Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Facsimile No. (703) 872-9306	Authorized officer Frederick F. Krass <i>F. Roberts for</i> Telephone No. (571) 272-1600

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## PATENT COOPERATION TREATY

## PCT

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

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Applicant's or agent's file reference IR 6844-00	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/US03/33914	International filing date (day/month/year) 24 October 2003 (24.10.2003)	Priority date (day/month/year) 24 October 2002 (24.10.2002)
International Patent Classification (IPC) or national classification and IPC IPC(7): A61K 6/00, 7/16 and US Cl.: 424/49, 50, 401, 404, 443; 433/80, 216, 217.1; 428/364, 480; 525/50, 66, 98, 166, 179, 222		
Applicant COLGATE-PALMOLIVE COMPANY		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.

2. This REPORT consists of a total of 3 sheets, including this cover sheet.

☐ This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of \_\_\_ sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of report with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☐ Certain observations on the international application

Date of submission of the demand 24 May 2004 (24.05.2004)	Date of completion of this report 19 August 2004 (19.08.2004)
Name and mailing address of the IPEA/US Mail Stop PCT, Attn: IPEA/US Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Facsimile No. (703) 872-9306	Authorized officer Frederick F. Krass <i>F. Roberts for</i> Telephone No. (571) 272-1600

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## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. .

PCT/US03/33914

## I. Basis of the report

## 1. With regard to the elements of the international application:\*

- ☒ the international application as originally filed.
- ☒ the description:  
pages 1-11 as originally filed  
pages NONE filed with the demand  
pages NONE filed with the letter of \_\_\_\_\_
- ☒ the claims:  
pages 12-15 as originally filed  
pages NONE as amended (together with any statement) under Article 19  
pages NONE filed with the demand  
pages NONE filed with the letter of \_\_\_\_\_
- ☐ the drawings:  
pages NONE as originally filed  
pages NONE filed with the demand  
pages NONE filed with the letter of \_\_\_\_\_
- ☐ the sequence listing part of the description:  
pages NONE as originally filed  
pages NONE filed with the demand  
pages NONE filed with the letter of \_\_\_\_\_

## 2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language \_\_\_\_\_ which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).

## 3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in printed form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. ☐ The amendments have resulted in the cancellation of:

- ☐ the description, pages NONE
- ☐ the claims, Nos. NONE
- ☐ the drawings, sheets/fig NONE

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).\*\*

\* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).

\*\* Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.

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# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.  
PCT/US03/33914

## V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

### 1. STATEMENT

Novelty (N)	Claims <u>1-19</u>	YES
	Claims <u>NONE</u>	NO
Inventive Step (IS)	Claims <u>1-19</u>	YES
	Claims <u>NONE</u>	NO
Industrial Applicability (IA)	Claims <u>1-19</u>	YES
	Claims <u>NONE</u>	NO

### 2. CITATIONS AND EXPLANATIONS

Claims 1-19 meet the criteria set out in PCT Article 33(2)-(3), because the prior art does not teach or fairly suggest blends of a polyamide with a different silicon-modified polyamide, which are useful in oral care products such as toothbrush bristles. As demonstrated by the information provided in the description at pages 10 and 11, oral care products made from the claimed blends, as compared to conventional nylon polyamides used to make oral care products in the prior art, unexpectedly provide improved antimicrobial activity.

Claims 1-19 meet the criteria set out in PCT Article 33(4); the applicability of the claimed oral care products to the oral care industry is self-evident.

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